

Tangerine Investment Funds Limited

Direct Transfer Form for Registered Investments



Set up your RSP/RIF/TFSA Direct Transfer to Tangerine Investment Funds Limited in 2 easy steps

Step 1: Complete this Direct Transfer Form to transfer your RSP, RIF or TFSA from another financial institution to Tangerine Investment Funds Limited.

Step 2: Mail your Direct Transfer Form to Tangerine Investment Funds Limited at the address below or fax it to 416-497-8908 or 1-877-464-7797.

If this transfer is your first purchase into your Tangerine Investment Fund Account, please remember to include your Investment Fund Account Enrollment Form.

For a description of the terms used in this form, please see page 3.

Once we have your completed form, we'll take care of the transfer for you.

Please tell us about yourself

- Mr. Mrs. Dr.
 Ms. Miss

First Name		Initial	Last Name	
Address:				
Street		City	Province	Postal Code
Telephone:		Email:		
Social Insurance Number <small>(Must be completed):</small>		Date of Birth <small>(Must be completed):</small>		
		day	month	year

Transfer information (See page 3 for description of terms.)

I'd like to transfer (Please check appropriate boxes):

In cash only

- A) All funds in my existing RSP
 B) _____ from my existing RSP
 C) All funds in my existing RIF
 D) _____ from my existing RIF
 E) All funds in my existing TFSA
 F) _____ from my existing TFSA

From the following institution:

Reference/plan number: _____

Maturity date: _____
(if applicable)

Issuer of plan: _____
financial institution

Address of issuer: _____

Please attach a copy of most recent statement.

Receiving Institution Information

Please transfer my RSP/RIF/TFSA to: Tangerine Investment Funds Limited, 3389 Steeles Ave E, Toronto, ON M2H 0A1

- RSP – RSP Plan # 145-680 RIF – RIF Plan # 1203 TFSA – TFSA Plan # 01450030

Tangerine Investment Funds Limited Account Number: _____
(if applicable)

Investment Instructions (Choose one):

Tangerine Core Portfolios

- Tangerine Balanced Income Portfolio
 Tangerine Balanced Portfolio
 Tangerine Balanced Growth Portfolio
 Tangerine Dividend Portfolio
 Tangerine Equity Growth Portfolio

Tangerine Global ETF Portfolios

- Tangerine Balanced Income ETF Portfolio
 Tangerine Balanced ETF Portfolio
 Tangerine Balanced Growth ETF Portfolio
 Tangerine Equity Growth ETF Portfolio

Tangerine Socially Responsible Global Portfolios

- Tangerine Balanced Income SRI Portfolio
 Tangerine Balanced SRI Portfolio
 Tangerine Balanced Growth SRI Portfolio
 Tangerine Equity Growth SRI Portfolio

Investment Amount \$: _____

Tangerine Investment Funds Limited is a mutual fund dealer, separate from, though wholly-owned, by Tangerine Bank and will receive a trailing commission from the mutual funds in your Account. More information on the fees associated with investing in the Fund is available in the Fund Fact document at tangerine.ca or by speaking to one of our licenced Investment Funds Advisors.

× Annuitant/Holder Signature

Date _____
day month year

Tangerine Investment Funds Limited

Direct Transfer Form for Registered Investments

Financial Institution use only. This section to be completed by the Transferor (other financial institution).

Amount transferred: \$ _____ from RSP/RIF or TFSA identified above.

Spousal RSP/RIF: Yes No (please check one)

Name of contributor spouse: _____

SIN of contributor spouse: _____

Locked-in Plan: Yes No (please check one) \$ _____

of the enclosed cheque represents locked-in benefits.

Applicable Pension Legislation: Federal or Provincial _____

These funds must continue to be administered as locked-in, as required by the Pension Benefit Standards Act or by a Provincial Act.

Please check if institution requires a copy returned.

Position or office _____

Date _____

day month year

This section to be completed by the Transferee (Tangerine Investment Funds Limited) upon receipt of the funds.

We agree to the above for a direct transfer of property in the amount of \$ _____. We have received the property and will credit it to the annuitant under the plan. No receipt for tax purposes will be issued for the amount transferred.

× Authorized Signature

Position or office _____

Date _____

day month year

Tangerine Investment Funds Limited

Description of Terms

Transfer information

A) If you check this box, you transfer your entire RSP.

When you transfer in cash, all your investments will be liquidated and converted to cash before they are transferred.

B) If you check this box, you transfer only a portion of your RSP. When you transfer in cash, the investments you specify will be liquidated and converted to cash before they are transferred.

Note: Please attach a copy of your most recent RSP statement indicating which investments you would like us to transfer.

C) If you check this box, you transfer your entire RIF.

When you transfer in cash, all your investments will be liquidated and converted to cash before they are transferred.

D) If you check this box, you transfer only a portion of your RIF. When you transfer in cash, the investments you specify will be liquidated and converted to cash before they are transferred.

Note: Please attach a copy of your most recent RIF statement indicating which investments you would like us to transfer.

E) If you check this box, you transfer your entire TFSA.

When you transfer in cash, all your investments will be liquidated and converted to cash before they are transferred.

F) If you check this box, you transfer only a portion of your TFSA. When you transfer in cash, the investments you specify will be liquidated and converted to cash before they are transferred.

Note: Please attach a copy of your most recent TFSA statement indicating which investments you would like us to transfer.

Locked-in plans – These plans generally take longer to transfer, because additional documents are required in order for us to administer the Account, as set out under various Provincial and Federal Legislation. Please ensure you have the correct Federal or Provincial Locked-in Agreement for the jurisdiction in which your plan is currently registered.

Spousal plans – If you're transferring a Spousal RSP or RIF, please ensure that you have opened a Spousal RSP or RIF at Tangerine Investment Funds Limited. This will help prevent delays in the transfer process.

How much will it cost to transfer my account? – Most institutions charge a transfer-out fee, and the fee amount varies from institution to institution. Tangerine Investment Funds Limited does not charge any fees to transfer in your account.

How long will my transfer take? – Transfers can take anywhere from 2-6 weeks to be completed. The amount of time will depend on the institution you are transferring from.

This form replaces Form T2033 and should be used when requesting the transfer of funds under paragraphs 146(16)(a) or 146.3(2)(e) of the Income Tax Act (Canada).

Please note that this form is also used to record a qualifying transfer of a TFSA under subsection 207.01(1) of the Income Tax Act.

Definitions

Reference/plan number – The number of the account you'd like us to transfer.

Maturity date – The date your investment(s) mature (some don't have a maturity date).

Issuer of plan – Name of the institution you are transferring from.

Address of issuer – The address of the institution you are transferring from. (We will send this Direct Transfer Form to this address.)

Tangerine Investment Funds Limited Account Number – If you already have a Tangerine Investment Funds RSP, RIF or TFSA Account where you'd like to deposit the transfer proceeds, please put the Account number in this space. If you are enrolling for a new Tangerine Investment Funds Account, please fax or mail your Enrollment Form with this Direct Transfer Form.

Investment instructions – Please indicate the fund where you'd like to invest the transfer proceeds, once they arrive at Tangerine Investment Funds Limited.